

The New Age of Capital Markets Supervision
17th Annual Capital Markets Conference
Federal Reserve Bank of Chicago
November 7 - 9, 2011

Monday, November 7, 2011

- 7:30 AM** **Registration & Continental Breakfast**
- 9:00 AM** **Welcoming Remarks**
Cathy Lemieux
Executive Vice President, Supervision & Regulation
Federal Reserve Bank of Chicago
- 9:15 AM** **Keynote Address**
Craig S. Donohue
Chief Executive Officer and Director
CME Group, Inc.
- 10:15 AM** **Break**
- 10:45 AM** **“The Year in Review”**
Kurt Wilhelm
Director, Financial Markets Group
Office of the Comptroller of the Currency
- 12:15 PM** **Lunch**
- 1:45 PM** **John Ramsay**
Deputy Director, Division of Trading & Markets
Securities and Exchange Commission
- 2:45 PM** **Break**
- 3:15 PM** **Stephen C. Daffron**
Managing Director, Global Head of Operations, Technology
and Data
Morgan Stanley
- 4:00 PM** **Michael C. Bodson**
Chief Operating Officer
DTCC

Tuesday, November 8, 2011

7:30 AM Continental Breakfast

9:00 AM The OTC Derivatives Regulators' Forum

Richard Heckinger

Vice President, Financial Markets
Federal Reserve Bank of Chicago

10:00 AM Thierry Roland

Group Treasurer
HSBC

11:00 AM Break

11:30 AM Barry Zubrow

Chief Risk Officer and Executive Vice President
JPMorgan Chase

12:30 PM Lunch

2:00 PM F. Edward (Ted) Price

Deputy Superintendent, Supervision Sector
Office of the Superintendent of Financial Institutions, Canada

3:30 PM Break

4:00 PM "Trading in 21st Century": Panel Discussion

James Overdahl, Vice President , NERA (Moderator)

Don Wilson, CEO, DRW Holdings, LLC

David Babulak, Managing Director, GETCO, LLC

Robert Battalio, Professor of Finance, University of Notre
Dame

5:00 PM Reception

Wednesday, November 9, 2011

- 8:00 AM** **Continental Breakfast**
- 9:00 AM** **Daniel J. Roth**
President and Chief Executive Officer
National Futures Association
- 9:45 AM** **Yasushi Shiina**
Member of Secretariat
Financial Stability Board
- 10:30 AM** **Break**
- 11:00 AM** **Kenneth E. Bentsen, Jr.**
Executive Vice President
SIFMA: Securities and Financial Markets Association
- 12:00 PM** **Lunch**
- 1:30 PM** **Carol Clark**
Financial Markets Group
Federal Reserve Bank of Chicago
- 2:45 PM** **“Around the World”**
OSFI Canada
BOJ Japan
Bundesbank Germany