

FORM A

Federal Reserve Bank of Chicago

FINANCIAL DISCLOSURE REPORT

Reporting Status (Check Appropriate Box) <input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> X New Filer	[For incumbent filers] Calendar Year Covered By Report N/A – New Filer	Date of Appointment January 9, 2023	INTERNAL-FR Reporting Periods Incumbents: The reporting period is the preceding calendar year except with regard to Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file. New Filers: Schedule A-The reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date that is less than 31 days before the date of filing. Schedule B-Not applicable. Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing. Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing. Schedule D, Part I (Outside Positions)-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing. Schedule D, Part II (Other Situations)-The reporting period is as of the date of filing.
Last Name		First Name and Middle Initial	
Goalsbee		Austan D.	
Position			
President and CEO			
Certification	Signature of Reporting Individual	Date	
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[Redacted Signature]	1/18/2023	
Reserve Bank Ethics Officer's Review	Signature of Reserve Bank Ethics Officer	Date	
On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies.	[Redacted Signature]	1/18/2023	
Board Designated Agency Ethics Official's Review	Signature of Board Designated Agency Ethics Official	Date	
On the basis of information contained in this report and in consultation with the Reserve Bank Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies.	[Redacted Signature] Digitally signed by [Redacted] Date: 2023.01.19 08:36:27 -05'00'	1/19/2023	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)			

Responding Individual's Name	SCHEDULE A	Calendar Year Covered
Austan Goolsbee		1/1/22 – 1/9/23

ASSETS AND INCOME

None

- BLOCK A** Enter asset name and owner:
- ✓ Identify each asset held for the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period.
 - ✓ See the instructions for special rule for reporting an interest in a depository institution and other entities regardless of value.
 - ✓ Identify each asset or source of income which generated over \$200 in income during the reporting period.

BLOCK B Enter the value of each asset at close of reporting period.

- BLOCK C** Enter income type and amount:
- ✓ If "None (or < \$201)" is checked, no other entry is needed for that item.
 - ✓ Only enter Date if Honoraria.

* Income Types – D=Dividends, R=Rent and Royalties, I=Interest, C=Capital Gains, F=Excepted Investment Fund, T=Excepted Trust, Q=Qualified Trust

MUTUAL FUNDS																								
BLOCK A		BLOCK B						BLOCK C																
Asset Name	Owner	None or < \$1,001	\$1,001- \$50,000	\$50,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	Over \$1,000,000	Type*							Amount					Date (Mo,Day,Yr)				
								D	R	I	C	F	T	Q	Other (Specify)	None or < \$201	\$201- \$1,000	\$1,001- \$25,000	\$25,001- \$150,000		\$150,001- \$500,000	Over \$500,000		
Fidelity Municipal Money Market FTEXX	J				X						X						X							
Fidelity International Index Fund FSPSX	J			X							X					X								
Fidelity Small cap index fund FSSNX	J				X						X				X									
Ishares core S&P500 ETF IVV	J					X					X						X							
Schwab Strategic US SMALL-CAP ETF SCHA	J					X					X						X							
Schwab Strategic Intl Small Cap Equity ETF SCHC	J				X						X			X										
Schwab Strategic Emerging Mkts Equity ETF SCHE	J					X					X						X							
Schwab US Large-Cap Value ETF SCHV	J						X				X							X						
Ishares EAFE Small Cap ETF SCZ	J					X					X							X						
SPDR Portfolio Developed World Ex-US ETF SPDW	J					X					X							X						
Vanguard Small Cap Value ETF VBR	J				X						X						X							

MUTUAL FUNDS

BLOCK A		BLOCK B						BLOCK C																	
Asset Name	Owner	None or < \$1,001	\$1,001-\$50,000	\$50,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	Type*							Amount					Date (Mo,Day,Yr)					
								D	R	I	C	F	T	Q	Other (Specify)	None or < \$201	\$201-\$1,000	\$1,001-\$25,000	\$25,001-\$150,000		\$150,001-\$500,000	Over \$500,000			
Vanguard Global Ex-US Real Estate Index FD ETF VNQI	J			X								X													
Vanguard Index FDS Value ETF VTV	J					X						X					X								
Ishares S&P Value ETF IVE	DC1		X									X					X								
Schwab Strategic Emerging Mkts Equity ETF SCHE	DC1		X									X					X								
Ishares S&P Value ETF IVE	DC2		X									X					X								
Schwab Strategic Emerging Mkts Equity ETF SCHE	DC2		X									X					X								
Fidelity Government MMMF SPAXX	DC2	X										X					X								
TIAA-CREF Lifecycle Index 2030 Fund	S		X									X					X								
USAA Income Stock Fund	S				X							X					X								
Vanguard Balanced Index Fund VBIAX	S		X									X					X								
<u>FIDELITY SELF-EMPLOYMENT RETIREMENT ACCOUNTS</u>																									
Ishares S&P Value ETF IVE	E			X								X					X								
Ishares MSCI EAFE Value ETF EFV	E				X							X					X								
Vanguard Index Fund S&P 500 ETF VOO	E					X						X					X								
<u>TIAA-CREF/U CHICAGO RETIREMENT PLANS</u>																									
Vanguard Emerging Markets Stock Index Fund VEMIX	E				X							X					X								
Vanguard Extended Market Index Fund VEMPX	E				X							X					X								
Vanguard Institutional Index Fund VIIIX	E				X							X					X								
Vanguard Small-Cap Value Index Fund VSIIIX	E					X						X					X								

MUTUAL FUNDS																				
BLOCK A		BLOCK B						BLOCK C												
Asset Name	Owner	None or < \$1,001	\$1,001-\$50,000	\$50,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	Type*							Amount					Date (Mo,Day,Yr)
								D	R	I	C	F	T	Q	Other (Specify)	None or < \$201	\$201-\$1,000	\$1,001-\$25,000	\$25,001-\$150,000	
VANGUARD/NATIONAL BUREAU OF ECONOMIC RESEARCH RETIREMENT PLAN																				
Vanguard Small-Cap Index Fund NAESX	E			x																

MISCELLANEOUS																													
BLOCK A		BLOCK B						BLOCK C																					
Asset Name	Owner	None or < \$1,001	\$1,001-\$50,000	\$50,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	Type*							Amount					Date (Mo,Day,Yr)									
								D	R	I	C	F	T	Q	Other (Specify)	None or < \$201	\$201-\$1,000	\$1,001-\$25,000	\$25,001-\$150,000		\$150,001-\$500,000	Over \$500,000							
Analysis Group	E																		Honor.				x				10/12/22		
Atlas Holdings	E																			Honor.				x				1/27/22	
Atlas Holdings	E																			Honor.							x	5/24/22	
Boston Consulting Group	E																			Honor.							x	6/16/22 6/28/22	
Business Council	E																			Honor.				x				10/13/22	
Canapi Ventures	E																			Honor.				x				6/8/22	
CoBank	E																			Honor.							x	8/30/22 9/15/22	
Diversified Search	S																			Salary									
Franchise Times Corp.	E																			Honor.							x	11/14/22	
Goldman Sachs Asia	E																			Honor.				x				1/25/22	
Internet and TV Association	E																			Honor.							x	6/23/22	
LIMRA	E																			Honor.							x	10/18/22	
LPL Financial	E																			Honor.				x				6/15/22	
LSEG Group	E																			Honor.							x	6/4/22	

MISCELLANEOUS

BLOCK A		BLOCK B						BLOCK C																												
Asset Name	Owner	None or < \$1,001	\$1,001-\$50,000	\$50,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	Type*								Amount					Date (Mo,Day,Yr)															
								D	R	I	C	F	T	Q	Other (Specify)	None or < \$201	\$201-\$1,000	\$1,001-\$25,000	\$25,001-\$150,000	\$150,001-\$500,000		Over \$500,000														
Lumina Foundation	E																				Director Comp				x											
Manulife	E																														10/13/22					
Macmillan (textbook royalties)	E																																			
National Bureau of Economic Research (conference paper honorarium)	E																																	9/16/22		
New York Times (column royalties)	E																																			
Nomura	E																																		9/22/22	
NYU/Referee grant proposal	E																																		3/3/22	
Prium	E																																		5/20/22	
Raymond James	E																																		9/6/22	
Real Estate Roundtable	E																																		9/21/22	
Strategic World Views	E																																		2/10/22	
T Rowe Price	E																																		9/20/22	
Tishman Speyer	E																																		7/10/22 11/7/22	
US Travel Association	E																																		9/21/22 11/15/22	
University of Chicago	E																																			
University of Iowa Economics Club	E																																			11/2/22
William Blair	E																																			6/7/22
World affairs council of Jacksonville	E																																			11/29/22
YPO, Inc.	E																																			4/26/22

Responding Individual's Name	SCHEDULE B	Calendar Year Covered
Austan Goolsbee		1/1/22 – 1/9/23

PART I: TRANSACTIONS

None

Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank.

Transaction Name	Owner	Transaction Type			Date (Mo., Day, Yr.)	Amount of Transaction						
		Purchase	Sale	Exchange		\$1,001-\$50,000	\$50,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	Required Divestiture	
Not Applicable – New Filer												

PART II: GIFTS

None

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relatives, gifts valued at \$100 or less when aggregating gifts from the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

Source (Name and Address)	Brief Description	Value
Not applicable – New Filer		

Responding Individual's Name	SCHEDULE C	Calendar Year Covered
Austan Goolsbee		1/1/22 – 1/9/23

PART I: LIABILITIES

None

Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.

Creditor Name and Address	Type of Liability	Debtor	ID-RSSD	Category of Amount or Value				
				\$0-\$50,000	\$50,001-\$150,000	\$150,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank? Yes No If so, explain

PART II: AGREEMENTS OR ARRANGEMENTS

None

Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

Status and Terms of any Agreement or Arrangement	Parties	Date
I am on unpaid leave status as a tenured professor of economics for one year with the possibility of extension for another year on approval of the Provost as per the University policy. While I remain a faculty member, my dependent child in college remains eligible for the Faculty Scholarship Plan, a benefit available to all tenure track faculty as per University policy.	University of Chicago	1/2023
I will continue to participate in this defined contribution retirement plan. The plan sponsor will not make contributions during my leave.	University of Chicago	1/2023
I will continue to participate in this defined contribution retirement plan. The plan sponsor does not make contributions.	National Bureau of Economic Research	7/1998

	SCHEDULE D	Calendar Year Covered
Responding Individual's Name Austan Goolsbee		1/1/22 – 1/9/23

PART I: POSITIONS HELD OUTSIDE THE FEDERAL RESERVE BANKNone

Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm, partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature. Also report any position held by your spouse, a child, parent or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.

Organization Name and Address	Holder	Type of Organization	Position	From (Mo., Yr.)	To (Mo., Yr.)
Aspen Economic Strategy Group	E	Non-profit	Member	2017	present
Chicago Public Education Fund	E	Illinois Foundation	Board member	2017	12/2022
Diversified Search Group	S	Corporation	Managing Director	2021	Present
Economic Innovation Group	E	Washington, D.C. Foundation	Economic Advisory Board	2015	12/2022
Lumina Foundation	E	Indiana Foundation	Board member	2015	Present
National Bureau of Economic Research	E	Non-Profit	Research Associate	1997	1/2023
University of Chicago Booth School of Business	E	University	Professor of Economics	1995	Present
Yale Jackson School for Global Affairs	E	University	Advisory board member	2014	1/2023
Yale Program on Financial Stability	E	University	Advisory board member	2013	1/2023
Yale Tobin Center	E	University	Advisory board member	2019	1/2023
Yale University Council	E	University	Advisory board member	2022	Present

PART II: OTHER SITUATIONSNone

Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.