

Board of Governors of the Federal Reserve System



# Annual Report of Holding Companies—FR Y-6

## Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

I, **Jimmy Kauffman**

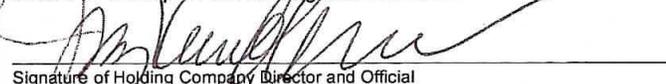
Name of the Holding Company Director and Official

**Director & President & CEO**

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

*With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.*



Signature of Holding Company Director and Official

03/22/2016

Date of Signature

For holding companies not registered with the SEC—  
Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report  
 will be sent under separate cover  
 is not prepared

For Federal Reserve Bank Use Only

RSSD ID  
C.I.

3808113

Date of Report (top-tier holding company's fiscal year-end):

**December 31, 2015**

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

**BOSP Bancshares, Inc.**

Legal Title of Holding Company

**228 E Main St**

(Mailing Address of the Holding Company) Street / P.O. Box

**Sun Prairie**

**WI**

**53590-2225**

City

State

Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

**Cher Breunig**

**Vice President-Comptroller**

Name

Title

**608-837-4511 3202**

Area Code / Phone Number / Extension

**608-825-6039**

Area Code / FAX Number

**cher.breunig@bankofsunprairie.com**

E-mail Address

**https://www.bospbancshares.com**

Address (URL) for the Holding Company's web page

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

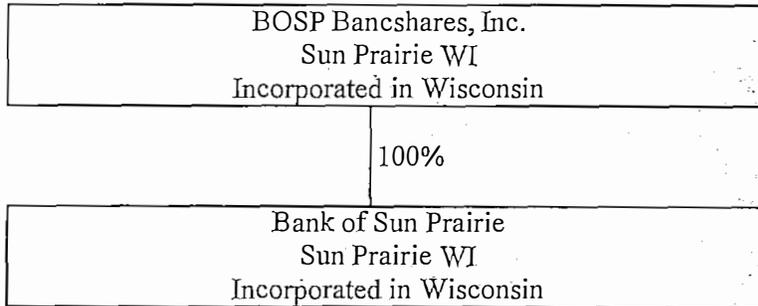
- In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.  
 The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

Form FR Y-6

BOSP Bancshares Inc  
Sun Prairie WI  
Fiscal Year Ending 12/31/2015

1. The bank holding company prepares an annual report for its shareholders. Three copies are enclosed.
2. Organizational Chart



3. Currently all entities do not have a Legal Entity Identifier (LEI).

**Results:** A list of branches for your depository institution: **BANK OF SUN PRAIRIE (ID\_RSSD: 124344)**.  
 This depository institution is held by **BOSP BANCSHARES, INC. (3808113)** of **SUN PRAIRIE, WI**.  
 The data are as of **12/31/2015**. Data reflects information that was received and processed through **01/07/2016**.

**Reconciliation and Verification Steps**

1. In the **Data Action** column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the **Effective Date** column.

**Actions**

- OK:** If the branch information is correct, enter 'OK' in the **Data Action** column.
- Change:** If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the **Data Action** column and the date when this information first became valid in the **Effective Date** column.
- Close:** If a branch listed was sold or closed, enter 'Close' in the **Data Action** column and the sale or closure date in the **Effective Date** column.
- Delete:** If a branch listed was never owned by this depository institution, enter 'Delete' in the **Data Action** column.
- Add:** If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the **Data Action** column and the opening or acquisition date in the **Effective Date** column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

**Note:**

To satisfy the **FR Y-10 reporting requirements**, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a **Data Action** of **Change, Close, Delete, or Add**.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

| Data Action | Effective Date | Branch Service Type        | Branch ID_RSSD* | Popular Name         | Street Address              | City          | State | Zip Code   | County | Country       | FDIC UNINUM* | Office Number* | Head Office         | Head Office ID_RSSD* | Comments |
|-------------|----------------|----------------------------|-----------------|----------------------|-----------------------------|---------------|-------|------------|--------|---------------|--------------|----------------|---------------------|----------------------|----------|
| OK          |                | Full Service (Head Office) | 124344          | BANK OF SUN PRAIRIE  | 228 EAST MAIN STREET        | SUN PRAIRIE   | WI    | 53590      | DANE   | UNITED STATES | 8481         | 0              | BANK OF SUN PRAIRIE | 124344               |          |
| OK          |                | Full Service               | 3306600         | COTTAGE GROVE OFFICE | 419 WEST COTTAGE GROVE ROAD | COTTAGE GROVE | WI    | 53527      | DANE   | UNITED STATES | 432879       | 4              | BANK OF SUN PRAIRIE | 124344               |          |
| OK          |                | Full Service               | 2105637         | BROADWAY OFFICE      | 550 BROADWAY DRIVE          | SUN PRAIRIE   | WI    | 53590-2225 | DANE   | UNITED STATES | 229686       | 1              | BANK OF SUN PRAIRIE | 124344               |          |
| OK          |                | Full Service               | 4907871         | GRAND AVE OFFICE     | 695 SOUTH GRAND AVENUE      | SUN PRAIRIE   | WI    | 53590      | DANE   | UNITED STATES | Not Required | Not Required   | BANK OF SUN PRAIRIE | 124344               |          |

Form FR Y-6

BOSP Bancshares, Inc.  
 Sun Prairie WI  
 Fiscal Year Ending December 31, 2015

Report Item 3: Securities Holders

| Current Securities Holders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12-31-2015 |   |   | Securities Holders not listed in 3(1)(a) through (3)(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12-31-2015 |   |   |
|---|---|---|--|---|---|
| (1)(a)<br>Name & Address (City, State, Country)   | (1)(b)<br>Country of<br>Citizenship or<br>Incorporation | (1)(c)<br>Number and<br>Percentage of Each<br>Class of Voting<br>Securities | (2)(a)<br>Name & Address<br>(City, State, Country)   | (2)(b)<br>Country of<br>Citizenship or<br>Incorporation | (2)(c)<br>Number and<br>Percentage of Each<br>Class of Voting<br>Securities |
| Neal R Chase Trust UTA<br>Sun Prairie WI<br>United States   | United States   | 75,484 - 6.7829%<br>common stock  | none   | n/a   | n/a   |

BOSP Bancshares, Inc.  
Sun Prairie WI  
Fiscal Year Ending December 31, 2015

## Report Item 4: Insiders

(1)(a)(b)(c) and (2)(a)(b)(c)

| (1)   | (2)  | (3)(a)  | (3)(b)  | (3)(c)   | (4)(a)  | (4)(b)   | (4)(c)   |
|---|--|---|---|--|---|--|--|
| Names & Address<br>(City, State,<br>Country)        | Principal Occupation if other<br>than with Bank Holding<br>Company   | Title & Position<br>with Bank<br>Holding<br>Company | Title & Position with<br>Subsidiaries<br>(include names of<br>subsidiaries) | Title & Position with Other<br>Businesses (include names<br>of other businesses)                               | Percentage of<br>Voting<br>Securities in<br>Bank Holding<br>Company | Percentage of<br>Voting<br>Securities in<br>Subsidiaries<br>(include names<br>of subsidiaries) | List names of other<br>companies (includes<br>partnerships) if 25% or<br>more of voting<br>securities are held (List<br>names of companies<br>and percentage of<br>voting securities held) |
| Kent Orfan<br>Sun Prairie WI<br>United States       | Principal Owner<br>e-Media Resources, LLC  | Director  | Director<br>(Bank of Sun Prairie)   | Principal Owner<br>e-Media Resources, LLC  | 0.0000%   | None   | e-Media Resources, LLC<br>(50%)  |
| Russ Fassbender<br>Sun Prairie WI<br>United States  | retired  | Director  | Director<br>(Bank of Sun Prairie)   | N/A  | 0.2884%   | None   | N/A  |
| August J List Sr<br>Sun Prairie WI<br>United States | retired  | Director  | Director<br>(Bank of Sun Prairie)   | N/A  | 1.7972%   | None   | N/A  |
| Jeff Tubbs<br>Sun Prairie WI<br>United States       | Vice President<br>J.H. Findorff & Son Inc  | Director  | Director<br>(Bank of Sun Prairie)   | Vice President<br>Business Development<br>J.H. Findorff & Son Inc  | 0.8166%   | None   | N/A  |
| Valerie Steiner<br>Sun Prairie WI<br>United States  | Executive Assistant<br>Administrative Manager<br>Secretary/Treasurer<br>Chase Lumber and<br>Fuel Company, Inc. | Director  | Director<br>(Bank of Sun Prairie)   | Executive Assistant<br>Administrative Manager<br>Secretary/Treasurer<br>Chase Lumber and<br>Fuel Company, Inc. | 0.0359%   | None   | Chase Lumber &<br>Fuel Company Inc<br>(49%)  |
| Thomas M Tubbs<br>Sun Prairie WI<br>United States   | N/A  | Director &<br>Chairman                              | Director &<br>Chairman<br>(Bank of Sun Prairie)                             | N/A  | 3.8890%   | None   | N/A  |
| Jimmy Kauffman<br>Sun Prairie WI<br>United States   | N/A  | Director &<br>President & CEO                       | Director &<br>President & CEO<br>(Bank of Sun Prairie)                      | N/A  | 0.0045%   | None   | N/A  |